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SRE0001-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MARTIN KRAEHENBUEHL ET AL.

Serial No.: 09/967,045

Filed: October 1, 2001

For: ON-LINE REINSURANCE
CAPACITY AUCTION SYSTEM
AND METHOD

Art Unit: 2166

Examiner: Not Yet Assigned

FIFTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The cited references are mentioned in the Search Report (copy enclosed) of applicant's corresponding Canadian patent application and are believed relevant for at least that reason. The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the

requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

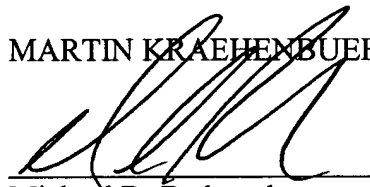
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Date: June 30, 2004

Respectfully submitted,

MARTIN KRAEHEHBUEHL ET AL.

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(Use several sheets if necessary)

09/967.045

MARTIN KRAEHNBUHL ET AL.

OCTOBER 1, 2001

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JUN 30 2004
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PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

COPY OF EXAMINER'S REPORT FROM CANADIAN PATENT OFFICE DATED FEBRUARY 18, 2004

DATE CONSIDERED

SHEET 1 OF 1